

Docket No.: 61352-049

## REQUEST FORM FOR CONTINUATION APPLICATION UNDER 35 USC 111(a)

U.S. PTO  
10/689646



Prior Application: International Application No. PCT/JP02/12951  
Art Unit: (Unassigned)  
Examiner: (Unassigned)

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

This is a Request for filing a continuation or continuation-in-part application, entitled HETERO BIPOLAR TRANSISTOR, under 35 U.S.C. 111(a) of pending prior International Application No. PCT/JP02/12951, filed on December 11, 2002, entitled HETERO BIPOLAR TRANSISTOR, by the following named inventor(s):

INVENTOR	RESIDENCE	CITIZENSHIP	POST OFFICE ADDRESS
Tohru SAITOH	2-4-10, Shirakawa, Osaka, JAPAN	Japanese	2-4-10, Shirakawa, Ibaraki-shi, Osaka 567-0832 JAPAN
Shigetaka AOKI	1-1-12, Hongo, Osaka, JAPAN	Japanese	1-1-12, Hongo, Kashiwara-shi, Osaka 582-0001 JAPAN
Katsuya NOZAWA	5-4-25-1101, Omiya, Osaka, JAPAN	Japanese	5-4-25-1101, Omiya, Asahi-ku, Osaka-shi, Osaka 535-0002 JAPAN
Teruhito OHNISHI	60-1-1202, Yamanouekitamachi, Osaka, JAPAN	Japanese	60-1-1202, Yamanouekitamachi, Hirakata-shi, Osaka 573-0049 JAPAN

1. ☒ I hereby verify, to the best of my knowledge, that the enclosed copy of this prior application is a true copy of the above-identified prior application, including the oath or declaration as originally filed.
2. ☐ Preliminary Amendment is enclosed.
- 2a. ☒ An Information Disclosure Statement, References, and PTO1449 Form are submitted herewith.
3. ☐ Cancel claims

4. The filing fee is calculated on the basis of the claims existing in the prior application as amended at 3 above:

	NO. OF CLAIMS		EXTRA CLAIMS	RATE	AMOUNT
Total Claims	11	-20	0	\$18.00 =	\$0.00
Independent Claims	5	-3	2	\$84.00 =	\$168.00
Basic Application Fee					\$770.00
If multiple dependent claims are presented, add \$280.00					\$0.00
Total Application Fee					\$938.00
Subtract ½ if small entity					\$0.00
Assignment & Transmittal Fee					\$40.00
<b>TOTAL APPLICATION FEE DUE</b>					<b>\$978.00</b>
AMOUNT TO BE CHARGED TO DEPOSIT ACCOUNT NO. 500417					\$978.00

- 4a. ☐ Enclosed is a Verified Statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27.
- 4b. ☐ A verified Statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27 was filed in prior application and such status is still proper and desired.
5. ☒ The Commissioner is hereby authorized to charge fees under 37 CFR 1.16 and 1.17 which may be required, including any extension of time fees including any extension of time fees to maintain the pendency of the parent application Serial No. \_\_\_\_\_ or credit any overpayment to Deposit Account No. 500417.
6. ☐ Amend the specification by inserting before the first line the sentence:
7. ☒ Priority of Japanese Application Serial No. 2001-377112 filed on December 11, 2001, in Japan and International Application No. PCT/JP02/12951 filed December 11, 2002 designating the U.S. is claimed under 35 USC 119, 120 and/or 365. A certified copy of the Japanese priority document is enclosed. Also enclosed is a copy of the published International Application (WO 03/050880 A1).
8. ☒ The prior application is assigned of record to:  
**Matsushita Electric Industrial Co., Ltd**  
**Osaka, JAPAN**

9. ☒ The power of attorney in the prior application is to:

**ARCO PATENT OFFICE,  
Kobe, JAPAN**

10. ☒ Also enclosed:

1. International Search Report
2. Form PCT/IB/301,304,308,345

11. ☐ A petition, fee and response has been filed to extend the term in the pending prior application until

12. ☒ Address all future communications to: (May only be completed by applicant, or attorney or agent of record)

McDERMOTT, WILL & EMERY  
600 13th Street, N.W.  
Washington, D. C. 20005-3096

Respectfully submitted,

MCDERMOTT, WILL & EMERY

  
Michael E. Fogarty  
Registration No. 36,139

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**Date: October 22, 2003**

Docket No. ~~WDC~~ 61352-049

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of	:	Customer Number: 20277
Tohru SAITOH, et al.	:	Confirmation Number:
Serial No.:	:	Group Art Unit:
Filed: October 22, 2003	:	Examiner:
For: HETERO BIPOLAR TRANSISTOR	:	

**LETTER**

Mail Stop  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

A Request to correct two Inventors names on the International Publication was submitted in the corresponding PCT Application, however, it does not appear that the records have been updated accordingly. Please note that the first Inventor's information should be corrected read:

**Tohru SAITOH.**

Respectfully submitted,

MCDERMOTT, WILL & EMERY

  
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Registration No. 36,139

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